

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
0112300-140

In Re Application Of: Bayard S. Webb et al.

Serial No.  
09/689,510

Filing Date  
October 12, 2000

Examiner  
Unknown

Group Art Unit  
3713

Title: **GAMING DEVICE HAVING AN AWARD EXCHANGE BONUS ROUND AND METHOD FOR REVEALING AWARD EXCHANGE POSSIBILITIES**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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(Under 37 CFR 1.97(b) or 1.97(c))

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0112300-140

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JUN 21 2002

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09/689,510

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October 12, 2000

Examiner  
Unknown

Group Art Unit  
3713

**GAMING DEVICE HAVING AN AWARD EXCHANGE BONUS ROUND AND METHOD FOR  
AWARD EXCHANGE POSSIBILITIES**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

*Adam H. Masia*

Signature

Dated: June 21, 2002

Adam H. Masia (Reg. No. 35,602)  
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CC:

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Bayard S. Webb, et al.  
Appl. No.: 09/689,510  
Filed: October 12, 2000  
Title: GAMING DEVICE HAVING AN AWARD EXCHANGE BONUS ROUND  
AND METHOD FOR REVEALING AWARD EXCHANGE POSSIBILITIES  
Art Unit: 3713  
Examiner: Unknown  
Docket No.: 0112300-140

Honorable Commissioner for Patents  
Washington, DC 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

**U.S. PATENT DOCUMENTS**

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### **FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
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### **OTHER DOCUMENTS**

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Empire Game Advertisement written by AC Coin, published in 1996.

Fire and Fortune Article written by Strictly Slots, published in 2001.

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On the Money Article written by Strictly Slots, Casino Data Systems, published in December 2000.

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Spell Binder Advertisement written by IGT, published in 2000.

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Totem Pole Advertisement written by IGT, published in 1997.

Treasure Wheel/Treasure Tunnel Advertisement written by Sigma Game, Inc., published prior to 2000.

Wheel of Fortune Advertisement written by IGT, published in 1998.

Wheel Poker Article written by Strictly Slots (Anchor Games), published in November 2000.

Winning Streak Web Site Description written by WMS Gaming Inc. (web site), printed on 3/21/01.

X Factor Advertisement and Website Page written by WMS Gaming, Inc., published in 1998.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

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BY 

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